

IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICANT: Mark Robert Fisher et al.  
SERIAL NO.: Unknown GROUP: Unknown  
FILED: Herewith EXAMINER: Unknown  
FOR: THERMALLY-RESPONSIVE RECORD MATERIAL

**INFORMATION DISCLOSURE STATEMENT**

U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window, Mail Stop New App  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

October 28, 2003

Sir:

Pursuant to 37 C.F.R. 1.56; 1.97; and 1.98, applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. **LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION**

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. **COPIES**

- a. XX Submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

III. **CONCISE EXPLANATION OF THE RELEVANCE**  
(check at least one box)

- a. XX Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).

- b. \_\_\_\_\_ A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- c. \_\_\_\_\_ The following additional information is provided for the Examiner's consideration.

### **FEES**

IV. XX THIS IDS IS BEING FILED UNDER 37 C.F.R. 1.97(b):  
(check one box)

- a. XX within three months of the filing date of a national application (37 C.F.R. 1.97(b)(1)). No fee or certification is required.
- b. \_\_\_\_\_ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. 1.97(b)(2)). No fee or certification is required.
- c. \_\_\_\_\_ before the mailing date of a first Action on the merits (37 C.F.R. 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. 1.97(c) and see the certification under 37 C.F.R. (e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$210.00 as required by 37 C.F.R. 1.17(p).

V. \_\_\_\_\_ THIS IDS IS BEING FILED UNDER 37 C.F.R. 1.97(c):  
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before the mailing date of a Final Office Action under 37 C.F.R. 1.113 (See 37 C.F.R. 1.97(c)(1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. 1.311 (See 37 C.F.R. 1.97(c)(2)).

- a. \_\_\_\_\_ No certification; therefore, a fee in the amount of \$210.00 is required by 37 C.F.R. 1.17(p).
- b. \_\_\_\_\_ See the certification below in Article VI. No fee is required.

VI. CERTIFICATION UNDER 37 C.F.R. 1.97(e) (complete only if V.b. is checked and check only one box)

The undersigned hereby certifies that

- a. \_\_\_\_\_ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b. \_\_\_\_\_ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.
- c. \_\_\_\_\_ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

VII. PAYMENT OF FEES (check one box)

\_\_\_\_\_ A check in the amount of \$210.00 is enclosed for the above-identified fee.


\_\_\_\_\_ Please charge Deposit Account No. 01-2210 in the amount of \$210.00 for the above-indicated fee. A triplicate copy of this paper is attached.

XX No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 01-2210.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. 1.16 or 1.17 to Deposit Account No. 01-2210.

Respectfully submitted,

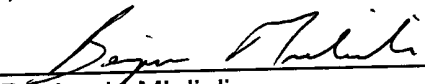
  
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Enclosures:   X   PTO-1449  
  X   References  
       Foreign Search Report  
       Fee  
       Other:

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with sufficient postage as Airborne Express Mail No. 4915898691 on October 28, 2003 addressed to: U.S. Patent and Trademark Office, 2011 South Clark Place, Customer Window, Mail Stop New App, Crystal Plaza Two, Lobby, Room 1B03, Arlington, VA 22313-1450.

  
Benjamin Mieliulis

# List of Patents and Publications for Information Disclosure Statement

Applicant(s): Mark Robert Fisher et al..  
 Serial No.: Unknown  
 Filed: October 28, 2003

Docket 6524  
 Group: Unknown  
 Examiner: Unknown

U.S. PATENT DOCUMENTS							
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A1	4,596,997	06/24/86	Shinmoto	346	216	01/31/85
	A2	5,801,288	09/01/98	Fujii et al.	568	33	06/01/95
	A3	6,103,661	08/15/00	Fujii et al.	503	201	05/05/98
	A4	6,114,282	09/05/00	Onishi et al.	503	216	05/13/98
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						

Foreign Patent Documents								
							Trans.	
Initials		Doc. No.	Date	Country	Class	Subclass	Yes	N
	A1							
	A2							
	A3							

Other Documents		
Initials		Author, Title, Date, Pages, etc.
	A1	
	A2	
	A3	
	A4	
	A5	
	A6	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

Examiner:

Date Considered: